## PATENT

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroyuki UWAZUMI et al.

Serial No.: 10/772,082

Filed: February 4, 2004

SUBSTRATE FOR A

PERPENDICULAR MAGNETIC

RECORDING MEDIUM,

Title: PERPENDICULAR MAGNETIC

RECORDING MEDIUM, AND MANUFACTURING METHODS

**THEREOF** 

Group Art Unit: 1773

Examiner:

Attorney Docket No.: FUJI:289

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450 **Certificate of Mailing** 

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Sirs:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO/SB/08A and /08B. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Copies of the references listed on Form PTO/SB/08A and /08B and relevant portions of the search report are attached.

It is respectfully requested that the information be expressly considered during the prosecution of this application, that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom, and that an initialed copy of PTO/SB/08A and /08B be returned to the undersigned.

Respectfully submitted,

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Substitute for form 1449/PTO

Sheet 1

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/772,082	_	
Filing Date	February 4, 2004		
First Named Inventor	Hiroyuki UWAZUMI et al.		
Art Unit	1773		
Examiner Name			
Attorney Docket Number	FUJI:289		

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	FORE	<b>IGN PATENT DOCL</b>	IMENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
Α	JP 5-67323 A	03-19-1993	HAMILTON	Abstract	
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	No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)  A JP 5-67323 A	Cite No. 1         Foreign Patent Document         Publication Date MM-DD-YYYY           Country Code³ "Number 4" Kind Code⁵ (if known)         03-19-1993	No. 1         Date MM-DD-YYYY         Applicant of Cited Document           A JP 5-67323 A         03-19-1993         HAMILTON	Cite No. 1  Country Code 3 Number 4 Kind Code 5 (if known)  A JP 5-67323 A  Publication Date MM-DD-YYYY  Publication Date MM-DD-YYYY  Name of Patentee or Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear  Name of Patentee or Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear

Examiner	Date	e	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of Codes of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of Codes considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Code USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, D.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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9-2-11-10-10-10-10-10-10-10-10-10-10-10-10-	Application Number	10/772,082		
INFORMATION DISCLOS		February 4, 2004		
STATEMENT BY APPLIC	ANT First Named Inventor	Hiroyuki UWAZMUI et		
(Use as many sheets as necessary)	Art Unit	1773		
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Sheet 2 of 2	Attorney Docket Number	FUJI:289		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	С	Search Report from the Singapore Patent Office dated January 20, 2005	
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Examiner	1	Date	

*EVAMINED: I	sitial if reference considered, whether as not situlian in in conformance with MADED CO.	O. Daniellan Abancak a	4-4 4
Signature		Considered	
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